

FORM PTO-1390

DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

43888-113

U.S. APPLIC. NO. (if known, see 37 CFR 1.5)

09/914,376

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/JP00/09363	DECEMBER 27, 2000	DECEMBER 28, 1999

**TITLE OF INVENTION**

ELECTRIC POWER GENERATOR AND METHOD FOR OPERATING THE SAME

**APPLICANT(S) FOR DO/EO/US**

KUNIHIRO UKAI, TAKESHI TOMIZAWA, KIYOSHI TAGUCHI, TOSHIYUKI SHONO, KOICHIRO KITAGAWA, TOMONORI ASOU, MASATAKA OZEKI, SHINJI MIYAUCHI, AKIRA MAENISHI, AND YUTAKA YOSHIDA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US)
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendment has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.  
 A **SECOND** or **SUBSEQUENT** preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information.



20277

PATENT TRADEMARK OFFICE

U.S. APPLIC. NO. (if known, see 37 CFR 1.50) 09/914,376	INTERNATIONAL APPLICATION NO. PCT/JP00/09363	ATTORNEY'S DOCKET NUMBER 43888-113										
		CALCULATIONS      PTO USE ONLY										
<p>17. <input type="checkbox"/> The following fees are submitted:</p> <p><b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b></p> <table> <tr> <td>Search Report has been prepared by the EPO or JPO</td> <td>\$890.00</td> </tr> <tr> <td>International preliminary examination fee paid to USPTO (37 CFR 1.482)</td> <td>\$710.00</td> </tr> <tr> <td>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))</td> <td>\$740.00</td> </tr> <tr> <td>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO</td> <td>\$1,040.00</td> </tr> <tr> <td>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)</td> <td>\$100.00</td> </tr> </table> <p style="text-align: center;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></p>		Search Report has been prepared by the EPO or JPO	\$890.00	International preliminary examination fee paid to USPTO (37 CFR 1.482)	\$710.00	No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))	\$740.00	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$1,040.00	International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)	\$100.00	\$
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Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$										
Claims	Number Filed	Number Extra	Rate									
Total Claims	-20 =		x \$18.00	\$								
Independent Claims	-3 =		x \$84.00	\$								
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$								
		<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$								
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$								
		<b>SUBTOTAL =</b>		\$								
Processing fee of \$130.00 for furnishing the English translation later than the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			+ \$	\$								
		<b>TOTAL NATIONAL FEE =</b>		\$								
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			+ \$	\$ 40.00								
The PTO did not receive the following listed item(s) <i>No received assignments form</i>		<b>TOTAL FEES ENCLOSED =</b>		\$ 40.00								
				Amount to be: refunded	\$							
				charged	\$							
a. <input type="checkbox"/>	A check in the amount of \$ _____ to cover the above fees is enclosed.											
b. <input checked="" type="checkbox"/>	Please charge my Deposit Account No. <u>500417</u> in the amount of \$ <u>40.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.											
c. <input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed.											
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>												
SEND ALL CORRESPONDENCE TO:												
MICHAEL E. FOGARTY McDERMOTT, WILL & EMERY 600 13 <sup>th</sup> Street, N.W. Washington, DC 20005-3096 (202) 756-8000 Facsimile (202) 756-8087												
 <table border="1"> <tr> <td>SIGNATURE</td> </tr> <tr> <td>MICHAEL E. FOGARTY</td> </tr> <tr> <td>NAME</td> </tr> <tr> <td>NO. 36,139</td> </tr> <tr> <td>REGISTRATION NUMBER</td> </tr> <tr> <td>DECEMBER 3, 2001</td> </tr> <tr> <td>DATE</td> </tr> </table>						SIGNATURE	MICHAEL E. FOGARTY	NAME	NO. 36,139	REGISTRATION NUMBER	DECEMBER 3, 2001	DATE
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